

Chapter 6.3 part 2

### Section 6.3

Generalizations (to an arbitrary commutative ring  $R$  with identity)  
of Th 2.8 and Th 5.10

Recall Th 2.8 & Th 5.10

$p \in \mathbb{Z}$  - integer or  $p \in F[x]$  - non-constant polynomial ( $F$  is a field)

The following three conditions are equivalent:

(1)  $p$  is prime  $\Leftrightarrow p$  is irreducible

(2)  $\mathbb{Z}_p = \mathbb{Z}/(p)$  is a field  $\Leftrightarrow F[x]/(p)$  is a field

(3)  $\mathbb{Z}_p = \mathbb{Z}/(p)$  is an integral domain  $\Leftrightarrow F[x]/(p)$  is an integral domain

Both  $\mathbb{Z}$  and  $F[x]$  belong to a certain special class of rings.

For more general ring, such a theorem is not true.

$R$  is a commutative ring with identity

We want to find a condition on an ideal  $I \subseteq R$  which is an analog of being prime/irreducible for the generator if the ideal was principal.

There are 2 ways to do that.

① Def An ideal  $P \subset R$  ( $P \neq R$ ) is said to be prime  
 if  $bc \in P$  implies  $b \in P$  or  $c \in P$  (or both)  
 [irreducible  $p \in F[x]$ ]

Clearly, for a prime  $p \in \mathbb{Z}$ , the ideal  $(p)$  is prime.

Indeed,  $bc \in (p)$  means  $p | bc$   $\stackrel{\mathbb{Z} \text{ Th. 5}}{\text{implies}}$   $p | b$  or  $p | c$  (or both)  
 $F[x]$  Th 4.12 (b)

Th 6.14 [Equivalence of (1) and (3)]

An ideal  $P \subset R$  is prime iff  $R/P$  is an integral domain

[Ex] shows that  $\mathbb{R}/P$  for a prime ideal  $P$  is not necessarily a field.

$$R = \mathbb{Z}[x] \quad P = (x)$$

- ①  $P$  is a prime ideal
- ②  $\mathbb{Z}[x]/(x)$  is not a field

Pf ① Assume  $ab \in (x)$  Wanted:  $a \in (x)$  or  $b \in (x)$   
 $ab = xf \quad a, b, x, f \in \mathbb{Z}[x]$

Pick  $x = 0$

$a(0)b(0) = 0$   $a(0)$  and  $b(0)$  are the constant terms of

That implies  $a(0)=0$  or  $b(0)=0$  the polynomials  $a$  and  $b$  correspondingly

for a polynomial  $c_0 + \dots + c_n x^n$ , zero constant term implies either the polynomial is zero, or the polynomial is  $x(c_1 + \dots + c_n x^{n-1})$

In either case,  $c_0 + \dots + c_n x^n \in (x)$

provided  $c_0 = 0$

That is either  $a \in (x)$  or  $b \in (x)$ . The ideal  $P = (x)$  is prime.

②  $\mathbb{Z}[x]/(x) \cong \mathbb{Z}$  - not a field

Zet  $f: \mathbb{Z}[x] \rightarrow \mathbb{Z}$

$a \mapsto a(0) \leftarrow$  the constant term of  $a$ .

- homomorphism (from the definition of the operations of addition and multiplication on  $\mathbb{Z}[x]$ )

-  $\ker f = (x)$

- surjective

- use the First Isomorphism Theorem to conclude

$$\mathbb{Z}[x]/(x) \cong \mathbb{Z}$$

② Def An ideal  $M \subset R$  ( $M \neq R$ ) is said to be maximal if whenever  $J \subset R$  is an ideal such that  $M \subseteq J \subseteq R$ , then  $J = M$  or  $J = R$  (not both).

No "ideal  $J$  such that

$$\begin{matrix} M \subset J \subset R \\ \neq \quad \neq \end{matrix}$$

For a prime  $p \in \mathbb{Z}$ , the ideal  $(p)$  is maximal.

Indeed let  $(p) \subset J \subset \mathbb{Z}$ .

Assume that  $(p) \neq J$ : there exists  $w \in J$ ,  $w \notin (p)$   
 $\cancel{pxw}$

$\cancel{pxw}$ ,  $p$  is prime imply that  $(p, w) = 1$  means  $ap + bw = 1$  for some  $a, b \in \mathbb{Z}$ .

$(p) \subset J$  implies  $ap \in J\}$   
 $w \in J$  implies  $bw \in J\}$  imply  $ap + bw \in J$ , thus  $1 \in J$  implies  $J = \mathbb{Z}$   
 by the absorption  
 property of  $J$

Prop

If an ideal  $I \subseteq R$  is such that there is a unit  $u \in R$  which is an element of  $I$ , then  $I = R$ .

Pf  $u^{-1}u = l_R$  and  $u^{-1}u \in I$  by the absorption property of  $I$ . Thus  $l_R \in I$ .

Again by the absorption property of  $I$ , for any  $r \in R$ ,  $r = r l_R \in I$ .

Th 6.15 [Equivalence of (1) and (2)]

Let  $M$  be an ideal in  $R$ .

$M$  is maximal iff  $R/M$  is a field.

Cor 6.16 Every maximal ideal is prime.

Pf - Combine Th 6.15, Th 6.14, and the fact that every field is an integral domain

Example not every prime ideal is maximal

$$R = \mathbb{Z}[x], \quad I = (x)$$

$\mathbb{Z}[x]_{(x)} \cong \mathbb{Z}$  is an integral domain but not a field

From Th 6.14:  $(x)$  is a prime ideal in  $\mathbb{Z}[x]$

From Th 6.15:  $(x)$  is not maximal in  $\mathbb{Z}[x]$

for example (a lengthier argument)

$$(x) \subset (2, x) \subset \mathbb{Z}[x]$$